



EXHIBIT B

PLR 4-3(b) - The Parties' Construction of Disputed Terms & Phrases

	Claim	InterTrust Construction	Microsoft Construction
 - 	Term/Phrase	Posture element property or state	An aspect of an environment is a
1.	aspect	Feature, element, property or state.	persistent element or property of that
			environment that can be used to
	683.2		distinguish it from other
	861.58	·	environments.
	900.155		
2.	912.8 authentication	Identifying (e.g., a person, device,	To establish that the following
2.	audicinication	organization, document, file, etc.).	asserted characteristics of something
	193.15	Includes uniquely identifying or	(e.g., a person, device, organization,
	175.15	identifying as a member of a group.	document, file, etc.) are genuine: its
1	,		identity, its data integrity, (i.e., it has
			not been altered) and its origin
			integrity (i.e., its source and time of
			origination).
3.	budget	Information specifying a limitation	(1) A unique type of "method" that
		on usage.	specifies a decrementable numerical
	193.1		limitation on future Use (e.g.,
			copying) of digital information and
	,		how such Use will be paid for, if at
		1	all.
			(2) A "method" is a collection of
		·	basic instructions, and information related to basic instructions, that
			provides context, data, requirements,
		·	and/or relationships for use in
			performing, and/or preparing to
		·	perform, basic instructions in
			relation to the operation of one or
	·		more electronic appliances.
4.	clearinghouse	A provider of financial and/or	(1) A computer system that provides
1		administrative services for a number	intermediate storing and forwarding
.	193.19	of entities; or an entity responsible	services for both content and audit
ļ		for the collection, maintenance,	information, and which two or more
		and/or distribution of materials,	parties trust to provide its services
		information, licenses, etc.	independently because it is operated
	·	·	under constraint of VDE security.
			(2) "Audit information" means all
			information created, stored, or
	• •		reported in connection with an
		N A DA CONSTRUCTION STATEMEN	"auditing" process. "Auditing"

EXHIBIT B TO JOINT CLAIM CONSTRUCTION STATEMENT





	Claim Term/Phrase	InterTrust Construction	Microsoft Construction
			means tracking, metering and reporting the usage of particular information or a particular appliance.
5.	900.155	Normal English: examines for the purpose of noting similarities and differences.	A processor operation that evaluates two quantities and sets one of three flag conditions as a result of the comparison – greater than, less than, or equal to.
6.	component assembly 912.8, 912.35	Components are code and/or data elements that are independently deliverable. A Component Assembly is two or more components associated together. Component Assemblies are utilized to perform operating system and/or applications tasks.	(1) A cohesive Executable component created by a channel which binds or links together two or more independently deliverable Load Modules (see below), and associated data. (2) A Component Assembly is assembled, and executes, only within a VDE Secure Processing Environment (see below). (3) A Component Assembly is assembled dynamically in response to, and to service, a particular content-related activity (e.g., a particular Use request). (4) Each VDE Component Assembly is assigned and dedicated to a particular activity, particular user(s), and particular protected information. (5) Each Component Assembly is independently assembled, loadable and deliverable vis-à-vis other Component Assembly is directed by a "blueprint" Record (see below) Containing control information for this particular activity on this particular user(s). (7) Component Assemblies are extensible and can be configured and reconfigured (modified) by all users, and combined by all users with other Component Assemblies,





Claim Term/Phrase	InterTrust Construction	Microsoft Construction
Termyrmase		subject only to other users' "senior" Controls.
		For the purposes of the construction of "Component Assembly," a "Load Module" is defined as follows: An Executable, modular unit of machine code (which may include data) suitable for loading into memory for execution by a processor. A load module is encrypted (when not within a secure processing unit) and has an Identifier that a calling process
		must provide to be able to use the load module. A load module is combinable with other load modules, and associated data, to form Executable Component Assemblies. A load module can execute only in a VDE Protected Processing Environment. Library routines are not load modules and dynamic link libraries are not load modules.
		For the purposes of the construction of "Component Assembly," a "Secure Processing Environment" is defined as follows: A Secure Processing Environment is uniquely identifiable, self-contained, non-circumventable, and trusted by all other VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the patent application as being protected, and to guarantee
		that such information will be accessed and Used only as expressly authorized by the associated VDE Controls, and to guarantee that all requested reporting of and payments for protected information use will be made. A Secure Processing





	Claim Term/Phrase	InterTrust Construction	Microsoft Construction
			Environment is formed by, and requires, a Secure Processing Unit having a hardware Tamper Resistant Barrier encapsulating a processor and internal Secure memory. The Tamper Resistant Barrier prevents all unauthorized interference, removal, observation, and other Use of the information and processes within it.
			For the purposes of the construction of "Component Assembly," a "Record" is defined as follows: A data structure that is a collection of fields (elements), each with its own name and type. Unlike an array, whose elements are accessed using an index, the elements of a record are accessed by name. A record can be accessed as a collective unit of elements, or the elements can be accessed individually.
7.	contain 683.2 912.8, 912.35	Normal English: to have within or to hold. In the context of an element contained within a data structure (e.g., a secure container), the contained element may be either directly within the container or the container may hold a reference indicating where the element may be found.	Physically (directly) storing within, as opposed to addressing (i.e., referring to something by the explicitly identified location where it is stored, without directly storing it).
8.	control (n.) 193.1, 193.11, 193.15, 193.19 683.2 891.1	Information and/or programming controlling operations on or use of resources (e.g., content) including (a) permitted, required or prevented operations, (b) the nature or extent of such operations or (c) the consequences of such operations.	(1) Independent, special-purpose, Executable, which can execute only within a Secure Processing Environment. (2) Each VDE Control is a Component Assembly dedicated to a particular activity (e.g., editing, modifying another Control, a user- defined action, etc.), particular user(s), and particular protected information, and whose satisfactory execution is necessary to Allowing (see below) that activity.





	Claim Term/Phrase	InterTrust Construction	Microsoft Construction
-	1 et ilv fillase		(2) Feel company information
		·	(3) Each separate information
			Access (see below) or Use is
			independently Controlled by
	·		independent VDE Control(s).
			(4) Each VDE Control is assembled
			within a Secure Processing
-			Environment from independently
İ			deliverable modular components
· .			(e.g., Load Modules or other
1			Controls), dynamically in response
			to an information Access or Use
			Request.
			(5) The dynamic assembly of a
1			Control is directed by a "blueprint"
			Record (put in place by one or more
			VDE users) Containing control
			information identifying the exact
	,		modular code components to be
			assembled and executed to govern
			(i.e., Control) this particular activity
			on this particular information by this
			particular user(s).
] :			(6) Each Control is independently
İ			assembled, loaded and delivered vis-
			à-vis other Controls.
			(7) Control information and
			Controls are extensible and can be
			configured and modified by all
			users, and combined by all users
] [with any other VDE control
			information or Controls (including
			that provided by other users), subject
			only to "senior" user Controls.
			I •
			(8) Users can assign control
		·	information (including alternative
			control information) and Controls to
			an arbitrarily fine, user-defined
			portion of the protected information,
	•		such as a single paragraph of a
			document, as opposed to being
		·	limited to file-based controls.
			(9) VDE Controls reliably limit Use
			of the protected information to only
			authorized activities and amounts.
		·	<u> </u>





	Claim	InterTrust Construction	Microsoft Construction
	Term/Phrase		
			For the purposes of the construction of "Control," a "Secure Processing Environment" is defined as set forth in item #6, above.
			For the purposes of the construction of "Control," "Allowing" is defined as follows: Actively permitting an action that otherwise cannot be taken (i.e., is prohibited) by any user, process, or device. In VDE, an
			action is allowed only through execution (within a Secure Processing Environment) of the VDE Control(s) assigned to the
			particular action request, and satisfaction of all requirements imposed by such execution.
			For the purposes of the construction of "Control," "Access" is defined as follows: To satisfactorily perform the steps necessary to obtain
			something so that it can be Used in some manner (e.g., for information: copied, printed, decrypted, encrypted, saved, modified,
			observed, or moved, etc.). In VDE, access to protected information is achieved only through execution (within a Secure Processing
			Environment) of the VDE Control(s) assigned to the particular "access" request, satisfaction of all requirements imposed by such
			execution, and the Controlled opening of the Secure Container Containing the information.
			For the purposes of the construction of "Control," "Load Module" and "Record" are defined as set forth in item #6, above.
9.	controlling, control (v.)	Normal English: to exercise authoritative or dominating influence over; direct.	(1) Reliably defining and enforcing the conditions and requirements under which an action that otherwise





	Claim Term/Phrase	InterTrust Construction	Microsoft Construction
	193.1		cannot be taken, will be Allowed,
	861.58		and the manner in which it may
	302.50		occur. Absent verified satisfaction
			of those conditions and
ŀ			requirements, the action cannot be
		·	taken by any user, process or device.
		<u> </u>	(2) In VDE, an action is Controlled
			through execution of the applicable
l			VDE Control(s) within a VDE
		·	Secure Processing Environment.
		·	(3) More specifically, in VDE,
	•		Controlling is effected by use of
Ì			VDE Controls, VDE Secure
			Containers, and VDE foundation
			(including VDE Secure Processing
			Environment, "object registration,"
			and other mechanisms for allegedly
			individually ensuring that specific
			Controls are enforced vis-à-vis
		·	specific objects (and their content at
		_	an arbitrary granular level) and
		•	specific "users").
			For the purposes of the construction
			of "Control (v.)" et al, "Allowed" is
			defined as set forth in item #8,
			above, and "Secure Processing
	·		Environment" is defined as set forth
			in item #6, above.
10.	copy, copied,	Reproduce, reproduced,	(1) To reproduce all of a Digital File
	copying	reproducing. The reproduction must	or other complete physical block of
		be usable, may incorporate all of the	data from one location on a storage
	193.1, 193.11,	original item or only some of it, and	medium to another location on the
	193.15, 193.19	may involve some changes to the	same or different storage medium,
		item as long as the essential nature	leaving the original block of data
		of the content remains unchanged.	unchanged, such that two distinct
			and independent objects exist.
		·	(2) Although the layout of the data
			values in physical storage may differ
			from the original, the resulting
			"copy" is logically indistinguishable
			from the original.
			(3) The resulting "copy" may or may
		• .	not be encrypted, ephemeral, usable,
			or accessible.





	Claim	InterTrust Construction	Microsoft Construction
	Term/Phrase	mai riust constituction	Wherosoft Constitution
-	Termy mase	·	For the purposes of the construction
			of "Copy," et al, a "Digital File" is
			defined as: A named, static unit of
			storage allocated by a "file system"
			and Containing digital information.
			A digital file enables any application
			using the "file system" to randomly
			access its contents and to distinguish
1		·	it by name from every other such
	·		unit. A copy of a digital file is a
			separate digital file. A "file system"
			is the portion of the operating
			system that translates requests made
ļ		·	by application programs for
			operations on "files" into low-level
			tasks that can control storage
			devices such as disk drives.
11.	derive	Normal English: obtain, receive or	To retrieve from a specified source.
	,	arrive at through a process of	
	900.155	reasoning or deduction. In the	
	•	context of computer operations, the	Ę
		"process of reasoning or deduction"	
		constitutes operations carried out by	
12.	designating	the computer. Normal English: indicating,	Docimenting compthise for
12.	designating	specifying, pointing out or	Designating something for a particular Use means specifying it
	721.1	characterizing.	for and restricting it to that Use.
13.	device class	A group of devices which share at	
15.	721.1	least one attribute.	The generic name for a group of device types. For example, all
	721.1	least one attroute.	display stations belong to the same
			device class. A device class is
			different from a device type. A
			device type is composed of all
			devices that share a common model
			number or family (e.g. IBM 4331
.			printers).
14.	digital signature,	digital signature: A digital value,	digital signature: A computationally
	digitally signing	verifiable with a key, that can be	unforgeable string of characters
		used to determine the source and/or	(e.g., bits) generated by a
	721.1	integrity of a signed item (e.g., a	cryptographic operation on a block
		file, program, etc.).	of data using some secret. The
			string can be generated only by an
		Digitally signing is the process of	entity that knows the secret, and
		creating a digital signature.	hence provides evidence that the





	Claim Term/Phrase	InterTrust Construction	Microsoft Construction
			entity must have generated it.
			digitally signing: (1) Creating a Digital Signature using a secret Key (see below). (2) In symmetric key cryptography, a "secret key" is a Key that is known only to the sender and recipient. In asymmetric key cryptography, a "secret key" is the private Key of a
			public/private key pair, in which the two keys are related uniquely by a predetermined mathematical relationship such that it is computationally infeasible to determine one from the other.
			For the purposes of the construction of "Digital Signature" and "Digital Signing," a "Key" is defined as: A bit sequence used and needed by a cryptographic algorithm to encrypt a block of plain text or to decrypt a
			block of cipher text. A key is different from a key seed or other information from which the actual encryption and/or decryption key is constructed, Derived , or otherwise identified. In symmetric key cryptography, the same key is used
			for both encryption and decryption. In asymmetric or "public key" cryptography, two related keys are used; a block of text encrypted by one of the two keys (e.g., the "public key") can be decrypted only by the corresponding key (e.g., the "private key").
15.	executable programming, executable 721.34 912.8, 912.35	A computer program that can be run, directly or through interpretation.	executable: A cohesive series of machine code instructions in a format that can be loaded into memory and run (executed) by a connected processor.





	Claim Term/Phrase	InterTrust Construction	Microsoft Construction
			executable programming: A cohesive series of machine code instructions, comprising a computer program, in a format that can be loaded into memory and run (executed) by a connected processor. A "computer program" is a complete series of definitions and instructions that when executed on a computer will perform a required or requested task.
16.	host processing environment 900.155	This term is explicitly defined in the claim and therefore needs no additional definition. It consists of those elements listed in the claim. Without waiving its position that no separate definition is required, if required to propose such a definition, InterTrust proposes the following: a Protected Processing Environment incorporating software-based security.	(1) A processing environment within a VDE node which is not a Secure Processing Environment. (2) A "host processing environment" may either be "secure" or "not secure." (3) A "secure host processing environment" is a self-contained Protected Processing Environment, formed by loaded, Executable programming executing on a general purpose CPU (not a Secure Processing Unit) running in protected (privileged) mode. (4) A "non-secure host processing environment" is formed by loaded, Executable programming executing on a general purpose CPU (not a Secure Processing Unit) running in user mode. For the purposes of the construction of "host processing environment," a "Secure Processing Environment" is defined as set forth in item #6, above.
17.	193.15	Information used to identify something or someone (e.g., a password).	Any text string used as a label naming an individual instance of what it <i>Identifies</i> .
	912.8	In this definition, "identify" means to establish the identity of or to ascertain the origin, nature, or	For the purpose of the construction of "Identifier," "Identify" is defined as: To establish as being a particular





	Claim	InterTrust Construction	Microsoft Construction
	Term/Phrase	1.5 idea of includes	instance of a namen or thing
		definitive characteristics of; includes identifying as an individual or as a member of a group.	instance of a person or thing.
18.	protected processing environment 683.2 721.34	An environment in which processing and/or data is at least in part protected from tampering. The level of protection can vary, depending on the threat. In this definition, "environment" means capabilities available to a program running on a computer or other device or to the user of a computer or other device. Depending on the context, the environment may be in a single device (e.g., a personal computer) or may be spread among multiple devices (e.g., a network).	(1) A uniquely identifiable, self-contained computing base trusted by all VDE nodes to protect the availability, secrecy, integrity and authenticity of all information identified in the February, 1995, patent application as being protected, and to guarantee that such information will be Accessed and Used only as expressly authorized by VDE Controls. (2) At most VDE nodes, the Protected Processing Environment is a Secure Processing Environment which is formed by, and requires, a hardware Tamper Resistant Barrier encapsulating a special-purpose Secure Processing Unit having a processor and internal secure memory. "Encapsulated" means hidden within an object so that it is not directly accessible but rather is accessible only through the object's restrictive interface. (3) The Tamper Resistant Barrier prevents all unauthorized (intentional or accidental)
			interference, removal, observation, and use of the information and processes within it, by all parties (including all users of the device in which the Protected Processing Environment resides), except as expressly authorized by VDE Controls. (4) A Protected Processing Environment is under Control of Controls and control information provided by one or more parties, rather than being under Control of the appliance's users or programs. (5) Where a VDE node is an





Claim Term/Phrase	InterTrust Construction	Microsoft Construction
Termin mase		established financial Clearinghouse, or other such facility employing physical facility and user-identity Authentication security procedures trusted by all VDE nodes, and the VDE node does not Access or Use VDE-protected information, or assign VDE control information, then the Protected Processing Environment at that VDE node may instead be formed by a general-purpose CPU that executes all VDE "security" processes in protected (privileged) mode. (6) A Protected Processing Environment requires more than just verifying the integrity of Digitally Signed Executable programming prior to execution of the program, associated data, and execution of the program code; or use of a password as its protection
		mechanism. For the purposes of the construction of "Protected Processing Environment," a "Secure Processing
		Environment, a secure Processing Environment" is defined as set forth in item #6, above, and "Access" is defined as set forth in item #8, above.





	Claim	InterTrust Construction	Microsoft Construction
	Term/Phrase		·
19.	secure, securely	One or more mechanisms are	(1) A state in which all users of a
	,	employed to prevent, detect or	system are guaranteed that all
	193.1, 193.11,	discourage misuse of or interference	information, processes, and devices
	193.15	with information or processes.	within the system, shall have their
	683.2	Such mechanisms may include	availability, secrecy, integrity,
	721.34	concealment, Tamper Resistance,	authenticity and nonrepudiation
	861.58	Authentication and access control.	maintained against all of the
	891.1	Concealment means that it is	identified threats thereto.
	912.8, 912.35	difficult to read information (for	(2) "Availability" means the
)12.0,)12.55	example, programs may be	property that information is
		encrypted). Tamper Resistance and	accessible and usable upon demand
		Authentication are separately	by authorized persons, at least to the
	•	defined. Access control means that	extent that no user may delete the
		access to information or processes is	information without authorization.
		limited on the basis of authorization.	(3) "Secrecy," also referred to as
		Security is not absolute, but is	confidentiality, means the property
ļ		designed to be sufficient for a	that information (including
		particular purpose.	computer processes) is not made
		• • •	available or disclosed to
			unauthorized persons or processes.
			(4) "Integrity" means the property
			that information has not been altered
		·	either intentionally or accidentally.
			(5) "Authenticity" means the
		·	property that the characteristics
			asserted about a person, device,
			program, information, or process are
			genuine and timely, particularly as
		·	to identity, data integrity, and origin
1			integrity.
			(6) "Nonrepudiation" means the
-			property that a sender of information
	•		cannot deny its origination and that a
			recipient of information cannot deny
			its receipt.
20.	secure container	A container that is Secure.	(1) A VDE Secure Container is a self-contained, self-protecting data
	.co. o	To this definition formation?	structure which (a) encapsulates
	683.2	In this definition, "container" means	information of arbitrary size, type,
	861.58	a digital file containing linked	format, and organization, including
	912.35	and/or embedded items.	
			other, nested, containers, (b)
			cryptographically protects that information from all unauthorized
			Access and Use, (c) provides
			encrypted storage management

	Claim Term/Phrase	InterTrust Construction	Microsoft Construction
-	1 erm/Phrase		functions for that information, such
			as hiding the physical storage
			location(s) of its protected contents,
1			(d) permits the association of itself
			or its contents with Controls and
1			control information governing
		·	(Controlling) Access to and Use
			thereof, and (e) prevents such Use or
			1
			Access (as opposed to merely
			preventing decryption) until it is "opened."
			(2) A Secure Container can be
			opened only as expressly Allowed by
]		the associated VDE Control(s),
			only within a Secure Processing
			Environment, and only through
		•	decryption of its encrypted header.
			(3) A Secure Container is not
			directly accessible to any non-VDE
			or user calling process. All such
			calls are intercepted by VDE.
			(4) The creator of a Secure
			Container can assign (or allow
			others to assign) control information
			to any arbitrary portion of a Secure
		·	Container's contents, or to an
			empty Secure Container (to govern
			(Control) the later addition of
			contents to the container, and Access
1			to or Use of those contents).
			(5) A container is not a Secure
	.		Container merely because its
1			contents are encrypted and signed.
1			A Secure Container is itself
			Secure.
			(6) All VDE-protected information
			(including protected content,
			information about content usage,
			content-control information,
			Controls, and Load Modules) is
			encapsulated within a Secure
	1.		Container whenever stored outside
			a Secure Processing Environment or
		·	secure database.
			secure database.





	Claim	InterTrust Construction	Microsoft Construction
	Term/Phrase		For the purposes of the construction of "Secure Container," "Secure Processing Environment" and "Load Module" are defined as set forth in item #6, above, and "Access" and "Allow" are defined as set forth in item #8, above.
21.	tamper resistance 721.1	Making tampering more difficult and/or allowing detection of tampering. In this definition, "tampering" means using (e.g., observing or altering) in any unauthorized manner, or interfering with authorized use.	tamper resistance: The ability of a Tamper Resistant Barrier to prevent Access, observation, and interference with information or processing encapsulated by the barrier. For the purposes of the construction of "Tamper Resistance," "Tamper/Tampering" is defined as: Using (e.g., observing or altering) in any unauthorized manner, or interfering with authorized use. For the purposes of the construction of "Tamper Resistance," "Access"
	• .		is defined as set forth in item # 6, above.
22.	tamper resistant barrier 721.34	Hardware and/or software that provides Tamper Resistance.	(1) An active device that encapsulates and separates a Protected Processing Environment from the rest of the world. (2) It prevents information and processes within the Protected Processing Environment from being observed, interfered with, and leaving except under appropriate conditions ensuring security. (3) It also Controls external access to the encapsulated Secure resources, processes and information. (4) A Tamper Resistant Barrier is capable of destroying protected information in response to
			Tampering attempts. For the purposes of the construction





	Claim Term/Phrase	InterTrust Construction	Microsoft Construction
			of "Tamper Resistant Barrier," "Tamper/Tampering" is defined as set forth in item #21, above.
23.	use 193.19 683.2 721.1 861.58 891.1 912.8, 912.35	Normal English: to put into service or apply for a purpose, to employ.	(1) To use information is to perform some action on it or with it (e.g., copying, printing, decrypting, encrypting, saving, modifying, observing, or moving, etc.). (2) In VDE, information Use is Allowed only through execution of the applicable VDE Control(s) and satisfaction of all requirements imposed by such execution. For the purposes of the construction
			of "Use," "Allowed" is defined as set forth in item #8 above.
24.	virtual distribution environment 900.155 Also as set forth in each "claim as a whole" by Microsoft.	This term is contained in the preamble of the claim and should not be defined, other than as requiring the individual claim elements. The term "virtual distribution environment" should not be read into claims that do not actually recite it. Without waiving its position that no separate definition is required, if required to propose such a definition, InterTrust proposes the following: secure, distributed electronic transaction management and rights protection system for controlling the distribution and/or other usage of electronically provided and/or stored information.	VDE/Virtual Distribution Environment: (1) Data Security and Commerce World: InterTrust's February 13, 1995, patent application described as its "invention" a Virtual Distribution Environment ("VDE invention") for securing, administering, and auditing all security and commerce digital information within its multi-node world (community). VDE guarantees to all VDE "participants" identified in the patent application that it will limit all Access to and Use (i.e., interaction) of such information to authorized activities and amounts, will ensure any requested reporting of and payment for such Use, and will maintain the availability, secrecy, integrity, non- repudiation and authenticity of all such information present at any of its nodes (including protected content, information about content usage, and content Controls.).



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Claim	InterTrust Construction	Microsoft Construction
Term/Phrase		
		VDE is Secure against at least the threats identified in the Feburary 1995, patent application to this availability (no user may delete the information without authorization), secrecy (neither available nor disclosed to unauthorized persons or processes), integrity (neither intentional nor accidental alteration), non-repudiation (neither the receiver can disavow the receipt of a message nor can the sender disavow the origination of that message) and authenticity (asserted characteristics are genuine). VDE further provides and requires the components and capabilities described below. Anything less than or different than this is not VDE or the described "invention."
		(2) Secure Processing Environment: At each node where VDE-protected information is Accessed, Used, or assigned control information, VDE requires a Secure Processing Environment (as set forth in item #6).
·		(3) <u>VDE Controls</u> : VDE Allows Access to or Use of protected information and processes only through execution of (and satisfaction of the requirements imposed by) VDE Control(s) .
		(4) <u>VDE Secure Container</u> : See construction of Secure Container.
		(5) Non-Circumventable: VDE is non-circumventable (sequestered). It intercepts all attempts by any and all users, processes, and devices, to Access or Use, such as observing, interfering with, or removing) protected information, and prevents all such attempts other than as





Claim Term/Phrase	InterTrust Construction	Microsoft Construction
1 et in 1 in ase		allowed by execution of (and satisfaction of all requirements imposed by) associated VDE Controls within Secure Processing Environment(s).
		(6) Peer to Peer: VDE is peer-to-peer. Each VDE node has the innate ability to perform any role identified in the patent application (e.g., end user, content packager, distributor, Clearinghouse, etc.), and can protect information flowing in any direction between any nodes. VDE
		is not client-server. It does not pre- designate and restrict one or more nodes to act solely as a "server" (a provider of information (e.g., authored content, control information, etc.) to other nodes) or "client" (a requestor of such information). All types of protected- content transactions can proceed without requiring interaction with any server.
		(7) Comprehensive Range of Functions: VDE comprehensively governs (Controls) all security and commerce activities identified in the patent application, including (a) metering, budgeting, monitoring, reporting, and auditing information usage, (b) billing and paying for information usage, and (c) negotiating, signing and enforcing contracts that establish users' rights to Access or Use information.
		(8) <u>User-Configurable</u> : The specific protections governing (Controlling) specific VDE-protected information are specified, modified, and negotiated by VDE's users. For example, VDE enables a consumer to place limits on the nature of content that may be <i>Accessed</i> at her





	Claim	InterTrust Construction	Microsoft Construction
	Term/Phrase		node (e.g., no R-rated material) or the amount of money she can spend on viewing certain content, both subject only to other users' senior Controls.
			(9) General Purpose; Universal: VDE is universal as opposed to being limited to or requiring any particular type of appliance, information, or commerce model. It is a single, unified standard and environment within which an unlimited range of electronic rights protection, data security, electronic currency, and banking applications can run.
			(10) Flexible: VDE is more flexible than traditional information security and commerce systems. For example, VDE allows consumers to pay for only the user-defined portion of information that the user actually uses, and to pay only in proportion to any quantifiable VDE event (e.g., for only the number of paragraphs displayed from a book), and allows editing the content in VDE containers while maintaining its security.
			For the purposes of the construction of "VDE," a "Secure Processing Environment" is defined as set forth in item #6, above.
			For the purposes of the construction of "VDE," "Access" is defined as set forth in item #8, above.
25.	193.1: "a budget specifying the number of copies which can be made of said digital file"	Normal English, incorporating the separately defined terms: a Budget stating the number of copies that can be made of the digital file referred to earlier in the claim.	A Budget explicitly stating the total number of copies (whether or not decrypted, long-lived, or accessible) that (since creation of the Budget) are authorized to be made of the Digital File by any and all users, devices, and processes. No process,





Claim Term/Phrase	InterTrust Construction	Microsoft Construction
		user, or device is able to make another copy of the <i>Digital File</i> once this number of copies has been made.
		For the purposes of the construction of this phrase, "Digital File" is defined as set forth in item #6, above.
26. 193.1: "controlling the copies made of said digital file"	The nature of this operation is further defined in later claim elements. In context, the copy control determines the conditions under which a digital file may be Copied and the copied file stored on a second device.	Controlling Uses of and Accesses to all copies of the Digital File, by all users, processes, and devices, by executing each of the recited "at least one" Copy Control(s) within VDE Secure Processing Environment(s). Each Control governs (Controls) only one action, which action may or may not differ among the different "at least one" Controls. All Uses and Accesses are prohibited and incapable of occurring except to the extent Allowed by the "at least one" Copy Control(s).
	·	For the purposes of the construction of this phrase, a "Secure Processing Environment" is defined as set forth in item #6, above, and "Access" and "Allowed" are defined as set forth in item #8, above.
27. 721.1: "digitally signing a second load module with a second digital signature different from the first digital signature, the second digital signature designating the second load module for use by a second device class having at least one of	Normal English, incorporating the separately defined terms: generating a Digital Signature for the second load module, the Digital Signature Designating that the second load module is for use by a second Device Class. This element further requires that the second Device Class have a different Tamper Resistance or security level than the first Device Class.	(1) Digitally Signing a different ("second") Load Module by using a different ("second") Digital Signature as the signature Key, which signing indicates to any and all devices in the second Device Class that the signor authorized and restricted this Load Module for Use by that device. (2) No VDE device can perform any execution of any Load Module without such authorization. The method ensures that the Load Module cannot execute in a
for use by a second device class having		without such authorization method ensures that the Lo





	Claim	InterTrust Construction	Microsoft Construction
	Term/Phrase		
	and security level different from the at least one of tamper resistance and security level of the first device class"		that no device in that Device Class has the Key(s) necessary to verify the Digital Signature. (3) All devices in the first Device Class have the same persistent (not just occasional) and identified level of Tamper Resistance and the same persistent and identified level of security. All devices in the second Device Class have the same persistent and identified level of Tamper Resistance and same persistent and identified level of security. (4) The identified level of Tamper Resistance or identified level of
			security (or both) for the first Device Class, is greater than or less than the identified level of Tamper Resistance or identified level of security for the second Device Class. For the purposes of the construction of this phrase, a "Load Module" is defined as set forth in item #6, above, and "Key" is defined as set forth in item #14, above.
28.	891.1: "securely applying, at said first appliance through use of said at least one resource said first entity's control and said second entity's control to govern use of said data item"	Normal English, incorporating the separately defined terms: the first entity's Control and the second entity's Control are Securely applied to govern Use of the data item, the act of Securely applying involving use of the resource.	(1) Processing the resource (component part of a first appliance's Secure Operating Environment) within the Secure Operating Environment's special- purpose Secure Processing Unit (SPU) to execute the first Control and second Control in combination within the SPU. (2) This execution of these Controls governs (Controls) all Use of the data item by all users, processes, and devices. (3) The processing of the resource and execution of the Controls cannot be observed from outside the SPU and is performed only after the





	Claim Term/Phrase	InterTrust Construction	Microsoft Construction
			integrity of the resource and Controls is cryptographically verified. (4) A Secure Processing Unit is a special-purpose unit isolated from the rest of the world in which a hardware Tamper Resistant Barrier encapsulates a processor and internal Secure memory. (5) The processor cryptographically verifies the integrity of all code loaded from the Secure memory prior to execution, executes only the code that the processor has authenticated for its Use, and is otherwise Secure.
29.	900.155: "derives information from one or more aspects of said host processing environment"	Normal English, incorporating the separately defined terms: Derives (including creates) information based on at least one Aspect of the previously referred to Host Processing Environment	(1) Deriving from the Host Processing Environment hardware one or more values that uniquely and persistently identify the Host Processing Environment and distinguish it from other Host Processing Environments. (2) The "one or more aspects of said host processing environment" are persistent elements or properties of the Host Processing Environment itself that are capable of being used to distinguish it from other environments, as opposed to, e.g., data or programs stored within the mass storage or main memory, or processes executing within the Host Processing Environment.
30.	912.8: "identifying at least one aspect of an execution space required for use and/or execution of the load module"	Normal English, incorporating the separately defined terms: identifying an Aspect (e.g. security level) of an execution space that is needed in order for the load module to execute or otherwise be used.	(1) Defining fully, without reference to any other information, at least one of the persistent elements or properties (Aspects) (that are capable of being used to distinguish it from other environments of an execution space) that are required for any Use, and/or for any execution, of the Load Module. (2) An execution space without all of those required aspects is





Claim Term/Phrase	InterTrust Construction	Microsoft Construction
		incapable of making any such execution and/or other Use (e.g., Copying, displaying, printing) of the Load Module.
		For the purposes of the construction of this phrase, a "Load Module" is defined as set forth in item #6, above.